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THE FAQSABOUT ISO 45001

The ISO Standard for Occupational Health & Safety Management System (OHSMS)



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The ISO 45001 Standard

What is ISO?

The International Organization for Standardization (ISO for short) is the world's largest developer of voluntary International Standards. Their 21,000+ standards offer solutions and best practice guidance for all types of technology and businesses, helping companies and organizations increase performance while protecting consumers and the planet.

While most are product and technical standards, the ISO has developed 40+ management system standards.

The best known of these include ISO 9001 (quality), ISO 14001 (environment), ISO 45001 (health & safety) and ISO 27001 (information security management). The feature they all have in common is that they are auditable. They are written to facilitate auditing by an independent third party (e.g., CAB) to confirm compliance with the standards' requirements.

ISO 13485 is a quality management system standard based on ISO 9001, considered the parent of all the other standards.

For more, visit ISO 9001 on the ISO website.

Enjoy!

What is ISO 45001?

ISO 45001 (or, to give it its full title, ISO 45001:2018, Occupational Health & Safety Management Systems – requirements with guidance for use, is an internationally recognized standard that sets out the requirements for an Occupational Health & Safety Management System (OHSMS).

An organization is responsible for the occupational health and safety of workers and others affected by its activities. This responsibility includes promoting and protecting their physical and mental health. The adoption of an OH&S management system is intended to enable an organization to provide safe and healthy workplaces, prevent work-related injury and ill health, and continually improve its OH&S performance.

It is aligned with an organization's purpose and strategic direction. It is expressed as the organizational goals, environmental programs, policies, processes, documented information, and resources needed to implement and maintain it.

The ISO 45001 standard is based on OHSAS 18001 (developed by the British Standards Institute - BSI), conventions and guidelines of the International Labour Organization, including ILO OSH 2001, and national standards. BS OHSAS 18001 was withdrawn by BSI immediately after ISO 45001 was published.





What is the purpose of ISO 45001?

The purpose of an OH&S management system is to provide a framework for managing OH&S risks. The intended outcomes of the OH&S management system are to prevent work-related injury and ill health among workers and to provide safe and healthy workplaces.

Consequently, it is critically important for the organization to eliminate hazards and minimize OH&S risks by taking effective preventive and protective measures. When the organization applies these measures through its OH&S management system, it improves its OH&S performance. An OH&S management system can be more effective and efficient when taking early action to address opportunities to improve OH&S performance.

Implementing an OH&S management system conforming to this document enables an organization to manage its OH&S risks and improve its OH&S performance. An OH&S management system can assist an organization in fulfilling its legal requirements and other requirements.

Fundamental to fulfilling achieving these objectives are two features ...

- Managing OH&S Risks the intentions and direction of an organization as formally expressed by its top management and consistent with the context of the organization and
- 2. **Improve OH&S Performance** measurable targets, generally specified for relevant organizational functions, levels, and processes focused on improvements.

A Certified OH&S management system also assists an organization in fulfilling its legal and other requirements.

External Auditors will persistently pay great attention to these three issues. For more, see the ISO publication <u>ISO 45001 Occupational Health & Safety.</u>



Certification to ISO 45001

What is an ISO 45001 Certification?

An ISO 45001 Certificate is recognition from a Certification Body – CAB (usually an accredited Certification Body) that an organization has implemented and is maintaining an OH&S Management system that meets the requirements of ISO 45001:2018.





Do You Need ISO 45001 Certification?

Yes and No. In many cases, ISO 45001 certification can be a useful tool - especially for SMEs - to add credibility by demonstrating through independent audit your organization's commitment to operating safely and healthily to staff and everyone using a particular site.

For some sectors, <u>ISO 45001 certification</u> is a contractual requirement.





Who needs an Occupational Health & Safety Management System (OHSMS)?

An OH&S Management system (OHSMS) is defined as a formalized system that provides organizations with a framework for managing OH&S risks. The intended outcomes of the OH&S management system are to prevent work-related injury and ill health among workers and to provide safe and healthy workplaces.

So, you have an OHSMS, and the fundamental question is do we need to formalize the system. Most organizations asking themselves this question say 'yes.' And currently, more than 290,000 organizations globally have a formal OHSMS certified to ISO 45001. This number is growing rapidly; we expect 500,000 certified organizations within five years.



What are the benefits of a formal OHSMS?

While helping to prevent the injury or death of a colleague tops everyone's list, here are 16 more benefits that organizations SMEs certified to ISO 45001 will enjoy.

- 1. **Recognized reputation as a safe place to work,** and you have the internationally recognized Certificate to prove it,
- 2. Larger pool of qualified candidates applying to work with your business because of this reputation,
- Reduced absenteeism and employee turnover rates as employees have objective reasons to feel safer at work,
- 4. **Improved ability to respond to regulatory compliance issues** with an enhanced relationship with the regulatory authorities; therefore,
- 5. **Reduced cost of OH&S incidents** as you have a system in place to prevent their recurrence,
- 6. **Reduced downtime and the costs of disruption to operations** because of fewer OH&S incidents and, when they occur, they are dealt with systematically and efficiently,
- 7. **Reduced cost of insurance premiums** as insurance companies recognize that certified businesses make fewer and less costly claims.
- 8. Peer recognition for having achieved an international benchmark, which in turn influences current and potential customers who are concerned about their social responsibilities)



- Improved scoring in pre-tender documents (especially from public sector organizations) helps ensure your organization can compete with established businesses.
- 10. **Reduced fines if prosecuted** as your Certification constitutes objective evidence to a court of the seriousness with which occupational health and safety are treated.
- 11. Potentially reduce public liability insurance costs,
- 12. **Qualify for pre-tender and tender opportunities.** This is especially true if you deal with the public sector,
- 13. **Performance of periodic internal audits.** Helps with monitoring compliance with ISO 45001 requirements and highlighting deficiencies,
- 14. <u>Corrective actions</u> to prevent the recurrence of OH&S incidents. Try to make mistakes only once, if at all,
- 15. **Informed Board of Directors.** Knowing that the organization is safety conscious and has a certificate to prove it is reassuring.
- 16. **Management satisfaction** knowing that the organization is functioning in line with legal requirements.



What are the Actions to Eliminate Hazards and Reduce OH&S Risks about?

Clause 6.1.2 requires an organization to identify OH&S hazards and assess the risks and opportunities arising. Clause 4.1.3 requires determining the legal and other requirements (e.g., contractual). Then plans need to be developed to ...

- 1. Address these risks and opportunities.
- 2. Address legal requirements and other requirements.

The hazards would include (and this is only a partial list) ...

- routine and non-routine activities and situations, including hazards arising from:
 infrastructure, equipment, materials, substances, and the physical conditions of the workplace;
 - 2) product and service design, research, development, testing, production, assembly, construction, service delivery, maintenance and disposal;
 - 3) human factors;
 - 4) how the work is performed.
- Past relevant incidents, internal or external to the organization, including emergencies, and their causes.
- Potential emergency situations.

The **persons** whose safety and health (medium and long-term) need to be considered include those with access to the workplace and their activities, including workers, contractors, visitors, and other persons in the vicinity of the workplace who can be affected by the organization's activities.

Actions to address hazards involve a hierarchy of controls (a control being an action or precaution taken to eliminate or reduce risk). The hierarchy is intended to provide a systematic approach to enhance occupational health and safety, eliminate hazards, and reduce or control OH&S risks. Each control is considered less effective than the one before it.

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Combining several controls to reduce the OH&S risks to a level as low as reasonably practicable is usual.

The following examples illustrate measures that can be implemented at each level ...

- a. **Elimination**: removing the hazard; stopping using hazardous chemicals; applying ergonomics approaches when planning new workplaces; eliminating monotonous work or work that causes negative stress; removing forklift trucks from an area.
- b. **Substitution**: replacing the hazardous with less hazardous; changing to answering customer complaints with online guidance; combating OH&S risks at source; adapting to technical progress (e.g., replacing solvent-based paint with water-based paint); changing slippery floor material; lowering voltage requirements for equipment.
- c. **Engineering controls, reorganization of work, or both**: isolating people from hazards; implementing collective protective measures (e.g., isolation, machine guarding, ventilation systems); addressing mechanical handling; reducing noise; protecting against falls from height by using guard rails; reorganizing work to avoid people working alone, unhealthy work hours and workload, or to prevent victimization.
- d. Administrative controls including training: conducting periodical safety equipment inspections; conducting training to prevent bullying and harassment; managing health and safety coordination with subcontractors' activities; conducting induction training; administrating forklift driving licenses; providing instructions on how to report incidents, non-conformities, and victimization without fear of retribution; changing the work patterns (e.g., shifts, of workers); managing a health or medical surveillance program for workers who have been identified as at risk (e.g. related to hearing, hand-arm vibration, respiratory disorders, skin disorders or exposure); giving appropriate instructions to workers (e.g. entry control processes).
- e. **Personal protective equipment (PPE):** Provide adequate PPE, including clothing and instructions for PPE utilization and maintenance (e.g., safety shoes, safety glasses, hearing protection, gloves).



Is an Emergency Evacuation Plan sufficient to meet Preparedness and Response requirements?

There is no way that a periodic Fire Drill will satisfy the requirement here (Clause 8.2). Emergency Plans and arrangements will be needed to address, as applicable ...

- a. Potential emergency situations (such as fires, storms, floods, extreme heat or cold, explosions, spills or releases of hazardous materials, and natural disasters);
- b. Hazardous materials used on-site (and their locations)?
- c. Key organizational responsibilities (including emergency coordinator)?
- d. Arrangements with local emergency support providers?
- e. Emergency response procedures, including emergency communication procedures?
- f. Locations and types of emergency response equipment?
- g. Maintenance of emergency response equipment?
- h. Training/testing of personnel, including the on-site emergency response team (if applicable)?
- i. Testing of alarm/public address systems?
- j. Evacuation routes and exits (map) and assembly points?

And drills and simulations must be practiced regularly in preparation for an emergency.



Who needs ISO 45001 Certification?

Organizations globally, both public and private spheres, and from every economic sector, can benefit from maintaining an ISO 45001 compliant OH&S Management System (OHSMS).





What are the Benefits of having ISO 45001 Certification?

Beyond preventing death and injury, there are at least sixteen benefits that organizations with an OHSMS in place can enjoy. These we've seen above. For the organization directly, here are some more:

- 1. **Legal and Regulatory Compliance:** As demonstrated by independent assessment by an accredited certification body,
- 2. **Reputation:** be taken seriously as a prospective supplier as the holder of ISO 45001 Certification,
- 3. Qualify for pre-tender and tender opportunities, especially from the public sector,
- 4. **Status**: On equal terms with the 'big' boys' the size of your organization won't hold you back,
- 5. Hazard Analysis, Risk Assessment, and Risk Treatment help engender a habit of quantifying threats of all kinds to the business and then proactively dealing with them and
- 6. **Objectives and improvement** obligations focus you on setting targets for improvement and then planning and implementing them in a timely manner.

You'll find ten more benefits at 'Why ISO 45001 Matters to SMEs'.



How much does ISO 45001 Certification Cost?

The cost of ISO 45001 certification varies hugely based on the organization's size, geographical location, and economic prosperity.

Let's take the example of an SME with ten employees. And let's assume that the SME is not already Certified to ISO 9001, which would reduce the work required. Here are some typical prices from the UK for 2023, where we consider three scenarios:

Scenario (1)	Do-it-yourself (2)	Minimum Consultancy Support (3)	Maximum Consultancy Support (4)
Develop OHSMS (5 days)	500	1000	2500
Implement OHSMS (5 days)	500	1000	2500
Maintain OHSMS (2 x 3 years)	600	600	3000
Certification Year 1	2500	2500	2500
Year 2	1000	1000	1000
Year 3	1000	1000	1000
Total 3-year cost	£6100	£7100	£12500
Typical duration to Certification	11 months	5 months	4 months

Notes:

1. It is necessary to examine a 3-year horizon as CABs play games with their quotations that can be confusing. What is a given, however, is that CAB Audits and the associated contract must, <u>under IAF rules</u>, be based on a 3-year cycle.



- 2. No outside help. The project leader would need ISO 45001 Lead Implementer Training. Avoid this option unless your experience is sufficient to ensure an effective OH&S management system from the outset.
- 3. Four days of <u>consultancy</u> support are included here. Priced at £ 500 p.d., consultancy costs range from £300 to £700 per day. Satisfactory references must be obtained for previous ISO 45001 projects.
- 4. Maintenance here includes two days annually for internal auditing and Management Review support.

The best advice in controlling costs is to shop around. And to recheck the competitiveness of your chosen CAB regularly.

For more, visit the <u>ISO 45001 Lead Implementer Certification Course</u>.





Who Issues ISO 45001 Certification?

The ISO develops International Standards, such as ISO 9001 and ISO 45001, but is not involved in their Certification. ISO does not issue certificates, so a company or organization cannot be certified by ISO. This is performed by external certification bodies.

ISO 45001 certificates are issued by <u>Certification Bodies (CABs)</u> based on a comprehensive 2-stage audit (itself based on the <u>auditing standard</u>, <u>ISO 19011</u>); the CAB gathers and documents objective evidence of compliance with the requirements of ISO 45001. After a suitable review within the CAB, a Certificate is issued as is permission to use logos to publicize the fact.

For more, visit <u>UKAS</u>, the <u>National Accreditation Body for the United Kingdom</u>.



Obtaining the ISO 45001 certification

How to Choose a Certification Body?

The choice of a CAB is important. An accredited CAB (e.g., BSI) should be used wherever possible, and with ISO 45001, one won't be difficult to find.

Accreditation, issued by a nationally recognized Accreditation Board (e.g., <u>UKAS</u>), is an important confirmation of the legitimacy of the CAB. To help ensure an international 'level playing field' for CAB auditing standards, National Accreditation Boards have their own international organization, the <u>International Accreditation Forum (IAF)</u>, which oversees an ongoing program of witnessed self-assessment of IAF Members of each others' activities.

A Certificate from an accredited CAB will carry three logos. #1 the CAB's own logo, #2 the Accreditation Boards logo, and #3 the IAF logo. If you present an ISO 45001 Certificate to a customer or potential customer that does not carry all three logos, expect to be challenged. Without a plausible explanation, you can expect your approach to be rejected.



Are £375 ISO 45001 Certificates You Can Get Within 7 Days Legitimate?

Legally speaking, yes. But the Certificate is **worthless**. There are 'cowboy' CABs (whom you should ask to explain how an organization can create 3 months of records, the minimum needed to prove maintenance of an OHSMS, in 7 days) and even 'cowboy' Accreditation Bodies.

With ISO 45001 certificates, making sure you have the real thing fundamentally means choosing a CAB that will get you an IAF logo on your Certificate. Ask about it by name and accept nothing else.



Why is it Important to Get Certified by the Proper Certification Body?

Remember that those reviewing tender documents are unlikely to be inexperienced. They will recognize a phony Certification instantly. And your offering will go directly into the rubbish bin with the hard work you've expended to develop products and services you are proud of totally wasted. Most importantly, you wouldn't want an ISO Auditor to find such bogus Certificates when checking your evaluation of external providers (suppliers).

For more, visit <u>Is IAF Accreditation possible for all ISO Standards?</u> and <u>Your Accreditation</u> <u>Body must follow IAF Guidance.</u>





How do you get ISO 45001 Certification?

As you will have seen in the cost data above, there are two stages in securing ISO 45001 Certification:

Stage 1. Develop, implement, and maintain a suitable OHSMS for your organization and

Stage 2. Engage the services of a CAB to undertake the necessary evaluations and audits.

Stage 1. Develop, implement, and maintain a suitable OHSMS for your organization:

Our Infographic shown here nicely illustrates the multi-step process of preparing for Certification (click on the infographic image to get a copy for yourself). Whichever of the three approaches you choose (or variants thereof), you will benefit from our <u>ISO 45001</u> <u>Lead Implementer Course</u> in managing and directing your ISO 45001 Project.

Stage 2. Engage the services of a CAB to undertake the necessary evaluations and audits:

When choosing a certification body, you should:

- Evaluate several certification bodies.
- Check if the certification body auditing activities include ISO 45001:2018.
- Check if it is accredited. Accreditation is not compulsory, and non-accreditation
 does not necessarily mean it is not reputable, but it does provide independent
 confirmation of competence. To find an accredited certification body, contact the
 national accreditation body in your country or visit the <u>International Accreditation</u>
 Forum.

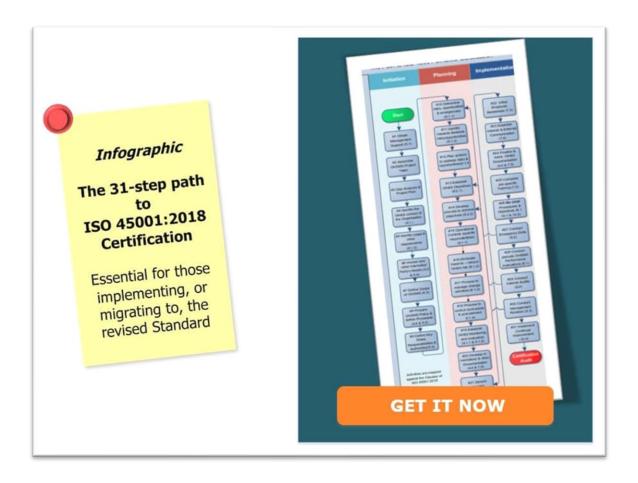
Note: the terms <u>certification and accreditation cannot be used interchangeably</u>, though it is not uncommon to do so. The difference between accreditation and Certification is:

Certification – the provision by an independent body of written assurance (a certificate) that the product, service, or system in question meets specific requirements.

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Accreditation – the formal recognition by an independent body, generally known as an accreditation body, that a certification body operates according to international standards.

For more, visit the <u>International Accreditation Forum/about us/</u> and <u>10 Reasons to change your ISO Certification Body</u>.





How to check the ISO 45001 Certification of an organization?

The IAF, after struggling with the issue for many years, launched IAF CertSearch. This is an exclusive global database for accredited management system certifications. Other databases, irrespective of the organization publishing them, should be treated with skepticism or, better still, ignored.

Currently, CertSearch has over 400,000 valid certifications across more than 150 economies covering a range of sectors, 4,000 certification bodies, and 68 IAF MLA signatory accreditation bodies. While highly dependable, this database is a long way from being complete when one considers that there are one million-plus organizations certified to ISO 9001:2015 alone.

Businesses and governments can digitally validate an organization's Certification (s) to determine if a certificate is valid and if the Certification Body issuing the Certificate is accredited to issue certifications to that standard.

The direct route is, of course, always open to you – ask the organization for a copy of their current Certificate. Many will have their Certificate on display on their website.

For more, visit **IAF CertSearch**.



Do Management Representatives or others responsible for an OHSMS need training?

The training of a Management Representative or others with day-to-day responsibility to maintain an OHSMS is NOT mandatory. Training is implied as part of developing competence but not a specific stand-alone requirement. So, unless you are determined to outsource this support indefinitely (technically, that's not permitted), you must train your Management Representative. And you're in luck. We've got exactly the ISO 45001 course you need.

For more info, visit our <u>ISO 45001 Lead Implementer Course page</u>.



Do Internal Auditors need training?

Again, training here is not mandatory. However, effective internal audits are essential to doing a professional job in maintaining your OHSMS and avoiding nasty surprises at your next Certification Body audit. Also, if you don't train them, your auditors won't have any of the <u>skills necessary</u> to 'harvest' those improvement suggestions from the people in your organization who actually do the work.

For more, visit ISO 45001 Internal Auditor Course.





Got a question we haven't answered?

We'd love to hear it and, if possible, answer it for you. Just use our Support Ticket System. You'll find a Knowledge Base there that might have an immediate answer for you. Otherwise, fill in a Ticket.

For more, visit <u>deGRANDSON Support Ticket</u>.

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